



Paper No. 10

PATENT DEPARTMENT
SKADDEN ARPS SLATE MEAGHER & FLOM LLP
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COPY MAILED**APR 29 2003****OFFICE OF PETITIONS**

In re Application of
Michael J. Tari, Angelo M.
Toglia, Christopher J. Dias,
Darius Gagne, Michiya Handa,
Neil A. Chriss, Jeffrey R.
Larsen
Application No. 10/014,864
Filed: December 11, 2001
Attorney Docket No. ICOR-004

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: DECISION ACCORDING STATUS
: UNDER 37 CFR 1.47(a)
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This is in response to the Request for Reconsideration of
Petition Under 37 CFR 1.47(a), filed petition under 37 CFR
1.47(a), filed April 11, 2003.

The petition under 37 CFR 1.47(a) is **GRANTED**.

The above-identified application was filed on December 11, 2001,
without an executed oath or declaration. Accordingly, on January
23, 2002, the Initial Patent Examination Division mailed a Notice
to File Missing Parts of Nonprovisional Application. This Notice
set a two month period for reply.

In reply, applicant filed a petition under 37 CFR 1.47(a) on
August 23, 2002 and paid the surcharge for late filing of the
declaration. To make timely this petition, applicant purchased a
five month extension of time. Included with the petition was a
declaration signed by joint inventors Angelo Toglia, Neil Chriss,
and Jeffrey Larsen, but lacking signatures from joint inventors
Michael Tari, Christopher Dias, Darius Gagne, and Michiya Handa.

However, the petition was dismissed in a decision mailed on March
14, 2003. The declaration contained an non-initialed alteration
to the residence of inventor Chriss.

A grantable petition under 37 CFR 1.47(a) requires: (1) proof
that the non-signing inventor cannot be reached or refuses to
sign the oath or declaration after having been presented with the
application papers (specification, claims, drawings, oath or
declaration); (2) an acceptable oath or declaration in compliance

with 37 CFR 1.63; (3) the petition fee; and (4) a statement of the last known address of the non-signing inventor.

On renewed petition, Rule 47 applicant has submitted a proper 37 CFR 1.63 declaration for inventor Chriss. The petition has been determined to be in compliance with 37 CFR 1.47(a). Accordingly, the above-identified application is hereby accorded Rule 1.47(a) status.

Pursuant to 37 CFR 1.47(c), this Office will forward notice of this application's filing to the non-signing inventors at the last known address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

The application file is being forwarded to Technology Center 3600, Group Art Unit 3624, for docketing.

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-0272.



Cliff Congo
Petitions Attorney
Office of Petitions



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231
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OFFICE OF PETITIONS

In re Application of
Michael J. Tari, Angelo M. Toglia
Christopher J. Dias, Darius Gagne,
Michiya Handa, Neil A. Chriss,
Jeffrey R. Larsen
Application No. 10/014,864
Filed: December 11, 2001
Title: METHOD AND SYSTEM FOR
REQUESTING PRICES FOR ELECTRONIC
TRADING OF FINANCIAL INSTRUMENTS

DECISION ACCORDING STATUS
UNDER 37 CFR 1.47(a)

Dear Mr. Dias:

You are named as a joint inventor in the above-identified United States patent application filed under the provisions of 35 USC 116 (United States Code) and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, agent of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Cliff Congo at (703) 305-0272. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to the Certification Division at (703) 308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Cliff Congo

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Petitions Attorney
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